

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below under my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

MODULATORS OF BODY WEIGHT, CORRESPONDING NUCLEIC ACIDS AND PROTEINS, AND DIAGNOSTIC AND THERAPEUTIC USES THEREOF

the Specification of which

[] is attached hereto
[X] was filed on August 17, 1994
as Application Serial No. 08/292,345.

I hereby state that I have reviewed and understand the contents of the above-identified Specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

<u>APPLICATION</u> <u>NUMBER</u>	<u>PRIOR FILED APPLICATION(S)</u> <u>COUNTRY (DAY/MONTH/YEAR FILED)</u>	<u>PRIORITY</u> <u>CLAIMED</u>
NONE		

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in any prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a), which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>APPLICATION</u> <u>NO.</u>	<u>FILING DATE</u> <u>(DAY/MONTH/YEAR)</u>	<u>STATUS - PATENTED, PENDING,</u> <u>ABANDONED</u>
NONE		

I hereby appoint as my attorneys or agents the following persons: Stefan J. Klauber (Attorney, Registration No. 22,604); David A. Jackson (Attorney, Registration No. 26,742); Barbara L. Renda (Attorney, Registration No. 27,626); James K. Poole (Attorney, Registration No. 30,676); Paul F. Fehlner (Agent, Registration No. 35,135); Sharon Crane-Feury (Agent, Registration No. 36,113); and Thomas E. Anderson (Agent, Registration No. 37,063), said attorneys or agents with full power of substitution and revocation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Please address all correspondence regarding this application to:

DAVID A. JACKSON, ESQ.
KLAUBER & JACKSON
411 HACKENSACK AVENUE
HACKENSACK, NEW JERSEY 07601

Direct all telephone calls to David A. Jackson at (201) 487-5800.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST INVENTOR:
RESIDENCE:

JEFFREY M. FRIEDMAN
500 East 63rd Street
Apt. 17B
New York, New York 10021
U.S.A.
Same

COUNTRY OF CITIZENSHIP:
POST OFFICE ADDRESS:

SIGNATURE OF INVENTOR

DATE February 14, 1995

FULL NAME OF SECOND JOINT INVENTOR:
RESIDENCE:

YIYING ZHANG
30 Waterside Place
Apt. 27F
New York, New York 10010
PEOPLE'S REPUBLIC OF
CHINA
Same

COUNTRY OF CITIZENSHIP:

POST OFFICE ADDRESS:

SIGNATURE OF INVENTOR

DATE

FULL NAME OF THIRD JOINT INVENTOR:
RESIDENCE:

RICARDO PROENCA
26-62 30th Street
Astoria, New York 11102
U.S.A.
Same

COUNTRY OF CITIZENSHIP:
POST OFFICE ADDRESS:

SIGNATURE OF INVENTOR _____

DATE _____

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

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NONE		

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KLAUBER & JACKSON
411 HACKENSACK AVENUE
HACKENSACK, NEW JERSEY 07601

Direct all telephone calls to David A. Jackson at (201) 487-5800.

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FULL NAME OF FIRST INVENTOR:

RESIDENCE:

JEFFREY M. FRIEDMAN

500 East 63rd Street

Apt. 17B

New York, New York 10021

U.S.A.

COUNTRY OF CITIZENSHIP:

POST OFFICE ADDRESS:

Same

SIGNATURE OF INVENTOR _____

DATE _____

FULL NAME OF SECOND JOINT INVENTOR:

RESIDENCE:

YIYING ZHANG

30 Waterside Place

Apt. 27F

New York, New York 10010

PEOPLE'S REPUBLIC OF

CHINA

COUNTRY OF CITIZENSHIP:

POST OFFICE ADDRESS:

Same

SIGNATURE OF INVENTOR _____

DATE _____

Attorney Docket No.: 600-1-087

FULL NAME OF THIRD JOINT INVENTOR:
RESIDENCE:

RICARDO PROENCA
26-62 30th Street
Astoria, New York 11102
U.S.A.
Same

COUNTRY OF CITIZENSHIP:
POST OFFICE ADDRESS:

SIGNATURE OF INVENTOR *Ricardo Proenca*

DATE 2/14/55

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below under my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

MODULATORS OF BODY WEIGHT, CORRESPONDING NUCLEIC ACIDS AND PROTEINS, AND DIAGNOSTIC AND THERAPEUTIC USES THEREOF

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<u>NUMBER</u>	<u>COUNTRY (DAY/MONTH/YEAR FILED)</u>	<u>PRIORITY CLAIMED</u>
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<u>NO.</u>	<u>(DAY/MONTH/YEAR)</u>	<u>ABANDONED</u>
NONE		

Attorney Docket No.: 600-1-087

FULL NAME OF THIRD JOINT INVENTOR:
RESIDENCE:

RICARDO PROENCA
26-62 30th Street
Astoria, New York 11102
U.S.A.
Same

COUNTRY OF CITIZENSHIP:
POST OFFICE ADDRESS:

SIGNATURE OF INVENTOR _____

DATE _____

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Jeffrey M. Friedman et al.
SERIAL NO.: UNASSIGNED : Group Art Unit: UNKNOWN
FILED: HEREWITH : Examiner: UNKNOWN
FOR: OB POLYPEPTIDES, MODIFIED FORMS AND
COMPOSITIONS (AS AMENDED)

ASSOCIATE POWER OF ATTORNEY

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned attorney for applicant hereby appoints Christine E. Dietzel, Ph.D.,
Registration No. 37,309, as Agent to prosecute the above-identified patent application and to
transact all business in the Patent and Trademark Office connected therewith.

Please continue to address all correspondence as follows:

David A. Jackson, Esq.
KLAUBER & JACKSON
411 Hackensack Avenue
Hackensack, New Jersey 07601

Respectfully submitted,

By: 

David A. Jackson
Attorney for Applicant
Registration No. 26,742
KLAUBER & JACKSON
411 Hackensack Avenue
Hackensack, NJ 07601
(201)487-5800

Date:



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

DATE: 04/24/95
TO:

N08B

KLAUBER & JACKSON
DAVID A. JACKSON, ESQ.
411 HACKENSACK STREET, 4TH FLOOR
HACKENSACK, NEW JERSEY 07601

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

ASSIGNOR:
FRIEDMAN, JEFFREY M.

DOC DATE: 02/14/95

ASSIGNOR:
ZHANG, YIYING

DOC DATE: 03/02/95

ASSIGNOR:
PROENCA, RICARDO B.

DOC DATE: 02/21/95

RECORDATION DATE: 03/10/95 NUMBER OF PAGES 007 REEL/FRAME 7373/0927

DIGEST: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:
ROCKEFELLER UNIVERSITY, THE
A CORP. OF NEW YORK
1230 YORK AVENUE
NEW YORK, NEW YORK 10021-6399

SERIAL NUMBER 8-292345 FILING DATE 08/17/94
PATENT NUMBER ISSUE DATE 00/00/00

L. Stevenson
EXAMINER/PARALEGAL
ASSIGNMENT BRANCH
ASSIGNMENT/CERTIFICATION SERVICES DIVISION

RECEIVED

MAY 12 1995

KLAUBER & JACKSON

RECORDATION FORM COVER SHEET
PATENTS ONLY

Attorney Docket No. 600-1-087

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jeffrey M. Friedman, Yiying Zhang, and Ricardo B. Proenca

☒ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☐ Corporation-State

Other: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance: 1/9

☒ Assignment(2 Counterparts)
☐ Merger
☐ Security Agreement ☐ Change of Name

Other: _____

Execution Dates: 2/14/95; 3/2/95; and, 2/21/95

2. Name and address of receiving party(ies):

Name: The Rockefeller University

Address: 1230 York Avenue

New York, New York 10021-6399

☐ Individual(s) Citizenship _____
☐ Association _____
☐ General Partnership _____
☐ Limited Partnership _____
☒ Corporation-State New York ✓
☐ Other _____

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☒ No

(Designations must be a separate document from Assignment)

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Patent Application No.(s)

08/292,345 - Filed 8/17/94

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David A. Jackson, Esq.

Address: Klauber & Jackson

411 Hackensack Street, 4th Floor

Hackensack, New Jersey 07601

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

11-1153

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

1 581

40.00 OK

9. Statement and signature. *TO BE COMPLETED BY THE CONVEYOR*

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul F. Fehlner
Name of Person Signing

Paul F. Fehlner
Signature
Reg. No. 35,135

Mar 7, 1995
Date

Total number of pages including cover sheet, attachments, and document: 7

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

93761666

40-381

1

FORM PTO-594
(Rev. 6/93)

MAIL ROOM
MAR 10 1995

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

RECORDATION FORM COVER SHEET
PATENTS ONLY

Attorney Docket No. 600-1-087

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

(1) Name of conveying party(ies):
 Jeffrey M. Friedman, Yiying Zhang, and Ricardo B. Proenca

[X] Individual(s) [] Association
 [] General Partnership [] Limited Partnership
 [] Corporation-State

Other: _____

Additional name(s) of conveying party(ies) attached? [] Yes [X] No

(3) Nature of conveyance: 16

[X] Assignment(2 Counterparts)
 [] Merger
 [] Security Agreement [] Change of Name

Other: _____

Execution Dates: 2/14/95; 3/2/95; and 2/21/95

(2) Name and address of receiving party(ies):
 Name: The Rockefeller University
 Address: 1230 York Avenue
 New York, New York 10021-6399

[] Individual(s) Citizenship
 [] Association
 [] General Partnership
 [] Limited Partnership
 [X] Corporation-State New York
 [] Other

If assignee is not domiciled in the United States, a domestic representative designation is attached: [] Yes [X] No
 (Designations must be a separate document from Assignment)

Additional name(s) & address(es) attached? [] Yes [X] No

(4) Application number(s) or patent number(s):
 A. Patent Application No.(s)
 08/292,345 - Filed 8/17/94

Additional numbers attached? [] Yes [X] No

B. Patent No.(s)

(5) Name and address of party to whom correspondence concerning document should be mailed:
 Name: David A. Jackson, Esq.
 Address: Klauber & Jackson
 411 Hackensack Street, 4th Floor
 Hackensack, New Jersey 07601

(6) Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41): \$40.00
 [x] Enclosed
 [] Authorized to be charged to deposit account, if necessary

8. Deposit account number:
 11-1153
 (Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE 1 561 40.00 OK

(9) Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul F. Fehlner
 Name of Person Signing

Paul F. Fehlner
 Signature

Mar 7, 1995
 Date

Reg. No. 35,135

Total number of pages including cover sheet, attachments, and document: 7

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

93761666

ASSIGNMENT

WHEREAS, WE,

JEFFREY M. FRIEDMAN, a citizen of the United States, residing at
500 East 63rd Street
Apt. 17B
New York, New York 10021;

YIYING ZHANG, a citizen of the People's Republic of China, residing at
30 Waterside Place
Apt. 27F
New York, New York 10010; and

RICARDO PROENCA, a citizen of the United States, residing at
26-62 30th Street
Astoria, New York 11102,

ASSIGNORS, have invented certain new and useful improvements in

**MODULATORS OF BODY WEIGHT, CORRESPONDING NUCLEIC ACIDS AND
PROTEINS, AND DIAGNOSTIC AND THERAPEUTIC USES THEREOF**

for which we have filed an Application for Letters Patent in the United States on
August 17, 1994 as U.S. Serial No. 08/292,345; and

WHEREAS, THE ROCKEFELLER UNIVERSITY,
 an education corporation organized and existing under
 the laws of the State of New York,
 with offices at 1230 York Avenue, New York, New York 10021-6399,

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the
said improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of
which is hereby acknowledged, we the said

ASSIGNORS,

have sold, assigned, transferred and set over, and by these presents do hereby sell,
assign, transfer and set over, to the said

ASSIGNEE,

its successors, legal representatives and assigns, the entire right, title and interest in, to
and under the said improvements, and the said application and all divisions, renewals, and
continuations thereof, and all Letters Patent of the United States which may be granted
thereon and all reissues and extensions thereof, and all applications for Letters Patent
which may hereafter be filed for said improvements in any country or countries foreign to
the United States, including the right to claim priority under the terms of any appropriate
International Convention based upon said application for Letters Patent of the United
States, and all Letters Patent which may be granted for said improvements in any country
or countries foreign to the United States and extensions, renewals and reissues thereof;

REC-1373 F-11928

2

Date March 2, 1995

Yiying Zhang L.S.
YIYING ZHANG

State of Ny :
: ss.

County of Ny :

Before me this 2nd day of March 1995, personally appeared YIYING ZHANG, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

TERESA L. SOLOMON
Notary Public, State of New York
No. 31-4989836
Qualified in New York County
Commission Expires Dec. 16, 1995

Teresa Solomon
Notary Public

(Affix Seal Here)

Date _____, 19

RICARDO PROENCA L.S.

State of :
: ss.

County of :

Before me this _____ day of _____ 1995, personally appeared RICARDO PROENCA, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Notary Public

(Affix Seal Here)

REC-1373 FILED 930

ASSIGNMENT

WHEREAS, WE,

JEFFREY M. FRIEDMAN, a citizen of the United States, residing at
500 East 63rd Street
Apt. 17B
New York, New York 10021;

YIYING ZHANG, a citizen of the People's Republic of China, residing at
30 Waterside Place
Apt. 27F
New York, New York 10010; and

RICARDO PROENCA, a citizen of the United States, residing at
26-62 30th Street
Astoria, New York 11102,

ASSIGNORS, have invented certain new and useful improvements in

**MODULATORS OF BODY WEIGHT, CORRESPONDING NUCLEIC ACIDS AND
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said improvements and the said application;

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which is hereby acknowledged, we the said

ASSIGNORS,

have sold, assigned, transferred and set over, and by these presents do hereby sell,
assign, transfer and set over, to the said

ASSIGNEE,

its successors, legal representatives and assigns, the entire right, title and interest in, to
and under the said improvements, and the said application and all divisions, renewals, and
continuations thereof, and all Letters Patent of the United States which may be granted
thereon and all reissues and extensions thereof, and all applications for Letters Patent
which may hereafter be filed for said improvements in any country or countries foreign to
the United States, including the right to claim priority under the terms of any appropriate
International Convention based upon said application for Letters Patent of the United
States, and all Letters Patent which may be granted for said improvements in any country
or countries foreign to the United States and extensions, renewals and reissues thereof;

FILED
1994
AUG 17
NEW YORK

and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said

ASSIGNEE,

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

ASSIGNEE,

its successors, legal representatives and assigns, any fact known to me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

ASSIGNEE,

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

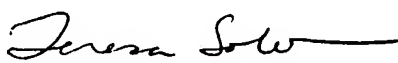
IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set opposite our signatures.

Date February 14, 1995


JEFFREY M. FRIEDMAN L.S.

State of Ny :
County of Ny : ss.
:

Before me this 14 day of Feb 1995, personally appeared JEFFREY M. FRIEDMAN, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.


Notary Public

(Affix Seal Here)

TERESA L. SOLOMON
Notary Public, State of New York
No. 31-4989236
Qualified in New York County
Commission Expires Dec. 16, 1995

Date _____, 19____ L.S.
YIYING ZHANG

State of _____ :
: ss.
County of _____ :

Before me this _____ day of _____ 1995, personally appeared YIYING ZHANG, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Notary Public

(Affix Seal Here)

RECORDED
NOTARY PUBLIC MARK OFFICE

MAR 10 95

Date 2/21, 1995 _____ L.S.
RICARDO PROENÇA

State of NY :
: ss.
County of NY :

Before me this 21st day of February 1995, personally appeared RICARDO PROENÇA, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Notary Public

(Affix Seal Here)

TERESA L. SOLOMON
Notary Public, State of New York
No. 31-4989836
Qualified in New York County
Commission Expires Dec. 16, 12/95

REC 1313 PAGE 933